

SERIAL NUMBER	FILING DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
09/418,184	10/12/99	705	2761	

APPLICANT

SEAN JOSEPH EGAN, HAVERFORD, PA.

CONTINUING DOMESTIC DATA***
VERIFIED

mmw

371 (NAT'L STAGE) DATA***
VERIFIED

mmw

FOREIGN APPLICATIONS***
VERIFIED

mmw

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 11/02/99 ** SMALL ENTITY **

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY PA	SHEETS DRAWING 0	TOTAL CLAIMS 3	INDEPENDENT CLAIMS 3
Verified and Acknowledged <u>mmw</u> Examiner's Initials Initials					

ADDRESS

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TITLE

SYSTEM AND METHOD FOR ASSIGNING RATINGS TO MUTUAL FUNDS AND OTHER
INVESTMENT FUNDS BASED ON THE VALUE OF VARIOUS FUTURE AND OPTION
SECURITIES

FILING FEE
RECEIVED

\$380

FEES: Authority has been given in Paper
No. _____ to charge/credit DEPOSIT ACCOUNT
NO. _____ for the following:

- ☐ All Fees
☐ 1.16 Fees (Filing)
☐ 1.17 Fees (Processing Ext. of time)
☐ 1.18 Fees (Issue)
☐ Other